

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2133

In re application of: William J. Armstrong et al. : Date: December 3, 2004

Group Art Unit: 2123 : IBM Corporation

Examiner: Jason S. Proctor : Intellectual Property Law

Serial No.: 09/939,232 : Dept. 917, Bldg. 006-1

Filed: August 24, 2001 : 3605 Highway 52 North

Title: SYSTEM FOR YIELDING TO A : Rochester, MN 55901-7829

PROCESSOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED
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Technology Center 2100

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

12/10/2004 SDENBOB1 00000008 090465 09939232 01 FC:1806 Serial NO. 09/939,232

Docket No. ROC920000314US1

- 1 -

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

Date: December 3, 2004

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 3, 2004

(Date of Deposit)

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PTO/SB/08A OF O 1 200 PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number.: 09/939,232

Filing Date:
First Named Inventor:

August 24, 2001

Art Unit:

Armstrong 2123

Examiner Name:

Jason S. Proctor

Sheet 1 of 1

Attorney Docket Number.:

ROC920040314US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.1	<u>Document Number</u> Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 6,269,391 B1	07-31-2001	Gillespie	
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FOREIGN PATENT DOCUMENTS

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Examiner Initials	Cite No.1	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
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Examiner Signature	Date Considered	1
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.

ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard